
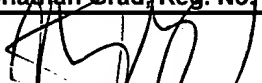


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[illegible]

| | | | | | |
|--|--|--|---|--|----------|
| Vidas, Arrett & Steinkraus Utility Patent Application Transmittal | | Atty. Docket No | | S63.2-10142 | |
| | | First Inventor | | Austin | |
| | | Title: | An Apparatus for Contracting or Crimping Stents | | |
| | | Express Mail Label No. | | EL813908635US | |
| Application Elements | | Address To: | | Commissioner for Patents Box Patent Application Washington, DC 20231 | |
| 1. | <input checked="" type="checkbox"/> | Fee Transmittal Form | | | Pages 2 |
| | <input checked="" type="checkbox"/> | Check Included | | | |
| 2. | <input type="checkbox"/> | Applicant claims small entity status | | | |
| 3. | <input checked="" type="checkbox"/> | Specification (including 0pg cover sheet, 14pg description, 4pg claims and 1pg abstract) | | | Pages 19 |
| 4. | <input checked="" type="checkbox"/> | Drawings | | | Pages 15 |
| 5. | <input checked="" type="checkbox"/> | Oath or Declaration | | | Pages 3 |
| | a. <input type="checkbox"/> | Newly executed (original or copy) | | | |
| | b. <input checked="" type="checkbox"/> | Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 19 completed) | | | |
| | i. <input type="checkbox"/> | Deletion of Inventor(s) – signed statement attached deleting inventors named in the prior application | | | Pages |
| 6. | <input checked="" type="checkbox"/> | Application Data Sheet | | | Pages 1 |
| 7. | <input checked="" type="checkbox"/> | Assignment Papers (cover sheet & documents and check) | | | Pages 0 |
| | <input checked="" type="checkbox"/> | Previously recorded on 9/22/99, Reel 010271, Frames 0062-0063 | | | |
| 8. | <input type="checkbox"/> | Power of Attorney | | | Pages |
| | <input type="checkbox"/> | 37 C.F.R. 3.73(b) Statement (when there is an assignee) | | | Pages |
| 9. | <input type="checkbox"/> | English Translation Document | | | Pages |
| 10. | <input type="checkbox"/> | Information Disclosure Statement | | | Pages |
| | <input type="checkbox"/> | Copies of Citations (references) | | | |
| 11. | <input checked="" type="checkbox"/> | Preliminary Amendment | | | Pages 6 |
| 12. | <input checked="" type="checkbox"/> | Return Receipt Postcard | | | Pages 1 |
| 13. | <input type="checkbox"/> | Certified Copy of Priority Document | | | Pages |
| 14. | <input type="checkbox"/> | Nonpublication Request | | | Pages |
| 15. | <input checked="" type="checkbox"/> | Constructive Petition | | | Pages 1 |
| 16. | <input checked="" type="checkbox"/> | Limited Authorization | | | Pages 1 |
| 17. | <input checked="" type="checkbox"/> | VAS Utility Patent Application Transmittal | | | Pages 1 |
| 18. | <input type="checkbox"/> | Other | | | Pages |
| 19. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part Of prior application no. 09/401,218 Prior Application Information: Examiner Marc Jimenez Group Art Unit 3726 For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. | | | | | |
| 20. TOTAL NUMBER OF PAGES 50 | | | | | |
| 21. CORRESPONDENCE ADDRESS  00490 <small>PATENT TRADEMARK OFFICE</small> INSERT CUSTOMER NUMBER LABEL ABOVE | | | | | |
| Name | | Jonathan Grad, Reg. No. 41,795 | | | |
| Signature | |  | | | |
| | | Date 9/28/2001 | | | |

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|-----------------------|
| Application Number | Not yet assigned |
| Filing Date | Concurrently herewith |
| First Named Inventor | Austin |
| Examiner Name | Marc Jimenez |
| Group Art Unit | 3726 |
| Attorney Docket No. | S63.2-10142 |

TOTAL AMOUNT OF PAYMENT (\$710.00)

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees to:

Deposit Account Number 22-0350

Deposit Account Name Vidas, Arrett & Steinkraus

☐ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.
See 37 CFR 1.27

☒ Credit any overpayments to Deposit Account No. 22-0350
Deposit Account Name: Vidas, Arrett & Steinkraus

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 101 | 710 | 201 | 355 | Utility filing fee | 710 |
| 106 | 320 | 206 | 160 | Design filing fee | --- |
| 107 | 490 | 207 | 245 | Plant filing fee | --- |
| 108 | 710 | 208 | 355 | Reissue filing fee | --- |
| 114 | 150 | 214 | 75 | Provisional filing fee | --- |

SUBTOTAL (1) \$ 710.00

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|----------------------------------|--------------|----------------|----------|
| 15 - 20** = 0 X | \$18.00 | = 0.00 | |
| Independent Claims 2 - 3** = 0 X | \$80.00 | = 0.00 | |
| Multiple Dependent | --- | = 0.00 | |

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|----------|----------|--|----------|
| 103 | 18 | 203 | 9 | Claims in excess of 20 | |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 | |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid | |
| 109 | 80 | 209 | 40 | **Reissue independent claims over original patent | |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) \$ 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|-----------------------|-----------------------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | --- |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | --- |
| 139 | 130 | 139 | 130 | Non-English specification | --- |
| 147 | 2,520 | 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination | --- |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | --- |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | --- |
| 115 | 110 | 215 | 55 | Extension for reply within first month | --- |
| 116 | 390 | 216 | 195 | Extension for reply within second month | --- |
| 117 | 890 | 217 | 445 | Extension for reply within third month | --- |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | --- |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | --- |
| 119 | 310 | 219 | 155 | Notice of Appeal | --- |
| 120 | 310 | 220 | 155 | Filing of Appeal | --- |
| 121 | 270 | 221 | 135 | Request for oral hearing | --- |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | --- |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | --- |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | --- |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | --- |
| 143 | 440 | 243 | 220 | Design issue fee | --- |
| 144 | 600 | 244 | 300 | Plant issue fee | --- |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | --- |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | --- |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | --- |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | --- |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | --- |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | --- |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | --- |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | --- |

Other Fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)
(\$0.00)

Jonathan Grad, Reg. No. 41,795

Signature

Telephone 952-563-3000

Date 9/28/2001

WARNING: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | |
|---------------------|--|
| Inventor(s): | Michael Austin |
| Title: | An Apparatus for Contracting or Crimping Stents |
| Filed: | <input checked="" type="checkbox"/> concurrently herewith <input type="checkbox"/> on _____ and assigned Serial No. _____ |

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

Docket No.: S63.2-10142

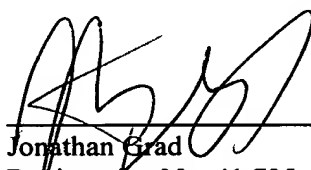
**CONSTRUCTIVE PETITION FOR EXTENSION OF TIME AND FEE
AUTHORIZATION PURSUANT TO 37 C.F.R. §1.136(a)(3)**

Applicant hereby requests that the United States Patent and Trademark Office treat any concurrent or future reply requiring a petition for an extension of time pursuant to §1.136 for its timely submission as incorporating therein a petition for an extension of time for the appropriate length of time.

Applicant authorizes the Commissioner of Patents and Trademarks to charge all required extension of time fees that have not otherwise been paid to Deposit Account No. 22-0350.

Respectfully submitted,
VIDAS, ARRETT & STEINKRAUS

Date: 9/28/01

By: 
Jonathan Grad
Registration No. 41,795

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